# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### FORM 6-K

# Report of Foreign Private Issuer Pursuant to Rule 13a-16 or 15d-16 under the Securities Exchange Act of 1934

For the Month of July 2021

1-15240 (Commission File Number)

#### **JAMES HARDIE INDUSTRIES plc**

(Translation of registrant's name into English)

Europa House, Second Floor Harcourt Centre Harcourt Street, Dublin 2, D02, WR20, Ireland (Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F..X.... Form 40-F......

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1): Not Applicable
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7): Not Applicable

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#### Forward-Looking Statements

This Form 6-K contains forward-looking statements. James Hardie Industries plc (the "company") may from time to time make forward-looking statements in its periodic reports filed with or furnished to the Securities and Exchange Commission, on Forms 20-F and 6-K, in its annual reports to shareholders, in offering circulars, invitation memoranda and prospectuses, in media releases and other written materials and in oral statements made by the company's officers, directors or employees to analysts, institutional investors, existing and potential lenders, representatives of the media and others. Statements that are not historical facts are forward-looking statements and such forward-looking statements made pursuant to the Safe Harbor Provisions of the Private Securities Litigation Reform Act of 1995.

Examples of forward-looking statements include:

- statements about the company's future performance;
- projections of the company's results of operations or financial condition;
- statements regarding the company's plans, objectives or goals, including those relating to strategies, initiatives, competition, acquisitions, dispositions and/or its products;
- expectations concerning the costs associated with the suspension or closure of operations at any of the company's plants and future plans with respect to any such plants;
- expectations concerning the costs associated with the significant capital expenditure projects at any of the company's plants and future plans with respect to any such projects;
- expectations regarding the extension or renewal of the company's credit facilities including changes to terms, covenants or ratios;
- · expectations concerning dividend payments and share buy-backs;
- · statements concerning the company's corporate and tax domiciles and structures and potential changes to them, including potential tax charges;
- uncertainty from the expected discontinuance of LIBOR and transition to any other interest rate benchmark;
- statements regarding the effect and consequences of the COVID-19 public health crisis;
- · statements regarding tax liabilities and related audits, reviews and proceedings;
- statements regarding the possible consequences and/or potential outcome of legal proceedings brought against us and the potential liabilities, if any, associated with such proceedings;
- expectations about the timing and amount of contributions to AICF, a special purpose fund for the compensation of proven Australian asbestos-related personal injury and death claims;
- · expectations concerning the adequacy of the company's warranty provisions and estimates for future warranty-related costs;
- statements regarding the company's ability to manage legal and regulatory matters (including but not limited to product liability, environmental, intellectual property and competition law matters) and to resolve any such pending legal and regulatory matters within current estimates and in anticipation of certain third-party recoveries; and
- statements about economic or housing market conditions in the regions in which we operate, including but not limited to, the levels of new home construction and home renovations, unemployment levels, changes in consumer income, changes or stability in housing values, the availability of mortgages and other financing, mortgage and other interest rates, housing affordability and supply, the levels of foreclosures and home resales, currency exchange rates, and builder and consumer confidence.

Words such as "believe," "anticipate," "plan," "expect," "intend," "target," "estimate," "project," "predict," "forecast," "guideline," "aim," "will," "should," "likely," "continue," "may," "objective," "outlook" and similar expressions are intended to identify forward-looking statements but are not the exclusive means of identifying such statements. Readers are cautioned not to place undue reliance on these forward-looking statements and all such forward-looking statements are qualified in their entirety by reference to the following cautionary statements.

#### Table of Contents

Forward-looking statements are based on the Company's current expectations, estimates and assumptions and because forward-looking statements address future results, events and conditions, they, by their very nature, involve inherent risks and uncertainties, many of which are unforeseeable and beyond the Company's control. Such known and unknown risks, uncertainties and other factors may cause actual results, performance or other achievements to differ materially from the anticipated results, performance or achievements expressed, projected or implied by these forward-looking statements. These factors, some of which are discussed under "Risk Factors" in Section 3 of the Form 20-F filed with the Securities and Exchange Commission on 18 May 2021, include, but are not limited to: all matters relating to or arising out of the prior manufacture of products that contained asbestos by current and former Company subsidiaries; required contributions to AICF, any shortfall in AICF funding and the effect of currency exchange rate movements on the amount recorded in the Company's financial statements as an asbestos liability; compliance with and changes in tax laws and treatments; competition and product pricing in the markets in which the Company operates; the consequences of product failures or defects; exposure to environmental, asbestos, putative consumer class action or other legal proceedings; general economic and market conditions; the supply and cost of raw materials; possible increases in competition and the potential that competitors could copy the Company's products; compliance with and changes in environmental and health and safety laws; risks of conducting business internationally, compliance with and changes in laws and regulations; currency exchange risks; dependence on customer preference and the concentration of the Company's customer base; dependence on residential and commercial construction markets; the effect of adverse changes in climate or weather patterns; use of accounting estimates; risk and uncertainties arising out of the COVID-19 public health crisis, including the impact of COVID-19 on our business, sales, results of operations and financial condition and all other risks identified in the Company's reports filed with Australian, Irish and US securities regulatory agencies and exchanges (as appropriate). The Company cautions you that the foregoing list of factors is not exhaustive and that other risks and uncertainties may cause actual results to differ materially from those referenced in the Company's forward-looking statements. Forward-looking statements speak only as of the date they are made and are statements of the Company's current expectations concerning future results, events and conditions. The Company assumes no obligation to update any forward-looking statements or information except as required by law.

## **EXHIBIT INDEX**

Exhibit No.

**Description**Change in substantial holding 2021 AGM Notification 99.1 99.2

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Date: 9 July 2021 James Hardie Industries plc
By: /s/ Joseph C. Blasko

Joseph C. Blasko General Counsel, Chief Compliance Officer and Company Secretary

## **EXHIBIT INDEX**

Exhibit No. Description

99.1 Change in substantial holding 99.2 2021 AGM Notification



James Hardie Industries plc Europa House 2nd Floor, Harcourt Centre Harcourt Street, Dublin 2, D02 WR20, Ireland

T: +353 (0) 1 411 6924 F: +353 (0) 1 479 1128

6 July 2021

The Manager Company Announcements Office Australian Securities Exchange Limited 20 Bridge Street SYDNEY NSW 2000

Dear Sir/Madam

## **Substantial Holding Notice**

As required under ASX Listing Rule 3.17.3 please see attached a copy of the substantial holding notice received by James Hardie on 5 July 2021.

Regards

Joseph C. Blasko

**General Counsel & Company Secretary** 

This announcement has been authorised for release by the General Counsel and Company Secretary, Mr Joseph C. Blasko.

Suzanne B. Rowland (USA), Dean Seavers (USA), Nigel Stein (UK), Harold Wiens (USA).

Chief Executive Officer and Director: Jack Truong (USA)

Company number: 485719

ARBN: 097 829 895

# NOTICE OF NOTIFIABLE INTEREST IN RELEVANT SHARE CAPITAL OF JAMES HARDIE INDUSTRIES PUBLIC LIMITED COMPANY (THE "COMPANY") IN FULFILMENT OF AN OBLIGATION ARISING UNDER CHAPTER 4 OF PART 17 OF THE COMPANIES ACT 2014

James Hardie Industries Plc Level 20, 60 Castlereagh Street Sydney, NSW 2000 Australia ATTN: Company Secretary BY EMAIL: investor.relations@jameshardie.com.au

02 July 2021

#### Greetings,

This notification relates to issued ordinary shares in the capital of the Company and is given in fulfillment of the obligations imposed by Sections 1048 to 1050 and otherwise by Chapter 4 of Part 17 of the Companies Act 2014.

The Vanguard Group, Inc. hereby notifies you that at the date of this notice it has a total notifiable interest in aggregate of 22,030,630 ordinary shares in the capital of the Company. The identity of the registered holders of shares to which this notification relates (so far as known to The Vanguard Group, Inc.) is set out in the attached schedule.

Each of the products managed by The Vanguard Group, Inc., (the "Funds") named in the attached schedule gives notice that, at the date of this notice, it has a total notifiable interest in the number of ordinary shares in the capital of the Company which is set out against its name. The identity of the registered holders of shares to which its notification relates (so far as known) is also set out against its name in the attached schedule.

The address of The Vanguard Group, Inc. is: P.O. Box 2600, V26, Valley Forge, PA 19482, USA

Yours faithfully,

By: Shawn Acker

# SCHEDULE

Name and address of [Subsidiary]/[Fund]	Number of	Registered holder(s) of shares
	shares	
Vanguard Total International Stock Index		
Fund		
P.O. Box 2600, V26, Valley Forge, PA		
19482, USA	5,591,065	JP Morgan Chase Bank
Vanguard Australian Shares Index Fund	5,238,745	JP Morgan Chase Bank
Vanguard Developed Markets Index Fund	2,947,884	State Street Bank and Trust Company
SMA 1	2,051,395	Others
Vanguard Institutional Total International		
Stock Market Index Trust II	1,866,549	JP Morgan Chase Bank
Vanguard Pacific ex-Japan Stock Index		
Fund	912,862	Brown Brothers Harriman
Vanguard FTSE All-World ex-US Index		Bank of New York Mellon
Fund	826,691	Corporation
		Bank of New York Mellon
Vanguard Pacific Stock Index Fund	444,082	Corporation
SMA 2	400,615	Others
SMA 3	302,785	Others
Vanguard Institutional Total International		
Stock Market Index Trust	250,556	JP Morgan Chase Bank
SMA 4	215,979	Others
Vanguard Total World Stock Index Fund	183,658	State Street Bank and Trust Company
SMA 5	144,036	Others
Vanguard FTSE Developed Asia Pacific ex		
Japan UCITS ETF	140,629	Brown Brothers Harriman
Vanguard FTSE Developed World ex-U.K.		
Equity Index Fund	111,762	State Street Bank and Trust Company
Vanguard Global Stock Index Fund	103,261	Brown Brothers Harriman
Vanguard FTSE All-World UCITS ETF	72,384	Brown Brothers Harriman
Vanguard ESG International Stock ETF	55,518	JP Morgan Chase Bank
Vanguard FTSE Developed All Cap ex		
North America Index Fund	41,290	State Street Bank and Trust Company
Vanguard Pacific Stock Index Trust	25,798	JP Morgan Chase Bank
Vanguard FTSE Developed World II		
Common Contractual Fund	20,161	Brown Brothers Harriman
Vanguard ESG Developed World All Cap		
Equity Index Fund	19,926	Brown Brothers Harriman
Vanguard FTSE Developed World ex UK		
Common Contractual Fund	14,893	Brown Brothers Harriman
Vanguard SRI FTSE Developed World II		
Common Contractual Fund	10,526	Brown Brothers Harriman
Vanguard FTSE Global All Cap Index Fund	10,413	State Street Bank and Trust Company
Vanguard FTSE Developed World		
Common Contractual Fund	9,840	Brown Brothers Harriman

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9,632	Brown Brothers Harriman
4,042	State Street Bank and Trust Company
2,268	State Street Bank and Trust Company
866	Brown Brothers Harriman
519	State Street Bank and Trust Company
22,030,630	
	4,042 2,268 866 519



James Hardie Industries plc Europa House 2nd Floor, Harcourt Centre Harcourt Street, Dublin 2, D02 WR20, Ireland

T: +353 (0) 1 411 6924 F: +353 (0) 1 479 1128

8 July 2021

The Manager Company Announcements Office Australian Securities Exchange Limited 20 Bridge Street SYDNEY NSW 2000

#### Dear Sir/Madam

The 2021 Annual General Meeting of James Hardie Industries plc (James Hardie) will be held on Thursday, 26 August 2021 at 11:00pm (Dublin time) / Friday, 27 August 2021 at 8:00am (Sydney time) in James Hardie's Corporate Headquarters, The Cork Room, Europa House, 2<sup>nd</sup> Floor, Harcourt Centre (Block 9), Harcourt Street, Dublin 2, D02 WR20, Ireland and simultaneously via teleconference.

Security holders wishing to (a) place an item on the agenda of the AGM must submit a request in accordance with Articles 53(b) and 53(c) of James Hardie's Articles of Association, or (b) make a director nomination must submit a request in accordance with Article 111 (b)(ii) of James Hardie's Articles of Association, in each case by either:

Delivering a hard copy of the request to James Hardie's registered office:

Europa House, 2<sup>nd</sup> Floor Harcourt Centre, Harcourt Street Dublin 2, D02 WR20, Ireland; or

Emailing a soft copy of the request to: <a href="mailto:investor.relations@jameshardie.com.au">investor.relations@jameshardie.com.au</a>.

The closing date for receipt of nominations from persons wishing to be considered for election as a director of James Hardie is Thursday, 15 July 2021.

Suzanne B. Rowland (USA), Dean Seavers (USA), Nigel Stein (UK), Harold Wiens (USA).

Chief Executive Officer and Director: Jack Truong (USA)

Company number: 485719

ARBN: 097 829 895

Regards

Joseph C. Blasko

**General Counsel & Company Secretary** 

This announcement has been authorised for release by the General Counsel and Company Secretary, Mr Joseph C. Blasko.