

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION**  
Washington, D.C. 20549

**FORM 6-K**

**Report of Foreign Private Issuer Pursuant to Rule 13a-16 or 15d-16  
under the Securities Exchange Act of 1934**

For the Month of August 2021

1-15240  
(Commission File Number)

**JAMES HARDIE INDUSTRIES plc**  
(Translation of registrant's name into English)

Europa House, Second Floor  
Harcourt Centre  
Harcourt Street, Dublin 2, D02, WR20, Ireland  
(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F..X.... Form 40-F.....

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1): Not Applicable

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7): Not Applicable

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## **TABLE OF CONTENTS**

<a href="#">Forward-Looking Statements</a>	3
<a href="#">Exhibit Index</a>	5
<a href="#">Signatures</a>	6

## *Forward-Looking Statements*

This Form 6-K contains forward-looking statements. James Hardie Industries plc (the “company”) may from time to time make forward-looking statements in its periodic reports filed with or furnished to the Securities and Exchange Commission, on Forms 20-F and 6-K, in its annual reports to shareholders, in offering circulars, invitation memoranda and prospectuses, in media releases and other written materials and in oral statements made by the company’s officers, directors or employees to analysts, institutional investors, existing and potential lenders, representatives of the media and others. Statements that are not historical facts are forward-looking statements and such forward-looking statements are statements made pursuant to the Safe Harbor Provisions of the Private Securities Litigation Reform Act of 1995.

Examples of forward-looking statements include:

- statements about the company’s future performance;
- projections of the company’s results of operations or financial condition;
- statements regarding the company’s plans, objectives or goals, including those relating to strategies, initiatives, competition, acquisitions, dispositions and/or its products;
- expectations concerning the costs associated with the suspension or closure of operations at any of the company’s plants and future plans with respect to any such plants;
- expectations concerning the costs associated with the significant capital expenditure projects at any of the company’s plants and future plans with respect to any such projects;
- expectations regarding the extension or renewal of the company’s credit facilities including changes to terms, covenants or ratios;
- expectations concerning dividend payments and share buy-backs;
- statements concerning the company’s corporate and tax domiciles and structures and potential changes to them, including potential tax charges;
- uncertainty from the expected discontinuance of LIBOR and transition to any other interest rate benchmark;
- statements regarding the effect and consequences of the COVID-19 public health crisis;
- statements regarding tax liabilities and related audits, reviews and proceedings;
- statements regarding the possible consequences and/or potential outcome of legal proceedings brought against us and the potential liabilities, if any, associated with such proceedings;
- expectations about the timing and amount of contributions to AICF, a special purpose fund for the compensation of proven Australian asbestos-related personal injury and death claims;
- expectations concerning the adequacy of the company’s warranty provisions and estimates for future warranty-related costs;
- statements regarding the company’s ability to manage legal and regulatory matters (including but not limited to product liability, environmental, intellectual property and competition law matters) and to resolve any such pending legal and regulatory matters within current estimates and in anticipation of certain third-party recoveries; and
- statements about economic or housing market conditions in the regions in which we operate, including but not limited to, the levels of new home construction and home renovations, unemployment levels, changes in consumer income, changes or stability in housing values, the availability of mortgages and other financing, mortgage and other interest rates, housing affordability and supply, the levels of foreclosures and home resales, currency exchange rates, and builder and consumer confidence.

Words such as “believe,” “anticipate,” “plan,” “expect,” “intend,” “target,” “estimate,” “project,” “predict,” “forecast,” “guideline,” “aim,” “will,” “should,” “likely,” “continue,” “may,” “objective,” “outlook” and similar expressions are intended to identify forward-looking statements but are not the exclusive means of identifying such statements. Readers are cautioned not to place undue reliance on these forward-looking statements and all such forward-looking statements are qualified in their entirety by reference to the following cautionary statements.

Forward-looking statements are based on the Company's current expectations, estimates and assumptions and because forward-looking statements address future results, events and conditions, they, by their very nature, involve inherent risks and uncertainties, many of which are unforeseeable and beyond the Company's control. Such known and unknown risks, uncertainties and other factors may cause actual results, performance or other achievements to differ materially from the anticipated results, performance or achievements expressed, projected or implied by these forward-looking statements. These factors, some of which are discussed under "Risk Factors" in Section 3 of the Form 20-F filed with the Securities and Exchange Commission on 18 May 2021, include, but are not limited to: all matters relating to or arising out of the prior manufacture of products that contained asbestos by current and former Company subsidiaries; required contributions to AICF, any shortfall in AICF funding and the effect of currency exchange rate movements on the amount recorded in the Company's financial statements as an asbestos liability; compliance with and changes in tax laws and treatments; competition and product pricing in the markets in which the Company operates; the consequences of product failures or defects; exposure to environmental, asbestos, putative consumer class action or other legal proceedings; general economic and market conditions; the supply and cost of raw materials; possible increases in competition and the potential that competitors could copy the Company's products; compliance with and changes in environmental and health and safety laws; risks of conducting business internationally; compliance with and changes in laws and regulations; currency exchange risks; dependence on customer preference and the concentration of the Company's customer base; dependence on residential and commercial construction markets; the effect of adverse changes in climate or weather patterns; use of accounting estimates; risk and uncertainties arising out of the COVID-19 public health crisis, including the impact of COVID-19 on our business, sales, results of operations and financial condition and all other risks identified in the Company's reports filed with Australian, Irish and US securities regulatory agencies and exchanges (as appropriate). The Company cautions you that the foregoing list of factors is not exhaustive and that other risks and uncertainties may cause actual results to differ materially from those referenced in the Company's forward-looking statements. Forward-looking statements speak only as of the date they are made and are statements of the Company's current expectations concerning future results, events and conditions. The Company assumes no obligation to update any forward-looking statements or information except as required by law.

## EXHIBIT INDEX

Exhibit No.	Description
<a href="#">99.1</a>	Application for quotation of securities- JHX
<a href="#">99.2</a>	Notification regarding unquoted securities - JHX
<a href="#">99.3</a>	Application for quotation of securities- JHX
<a href="#">99.4</a>	Appendix 3Y

## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Date: 27 August 2021

**James Hardie Industries plc**  
By: /s/ Joseph C. Blasko

Joseph C. Blasko  
General Counsel, Chief Compliance  
Officer and Company Secretary

## EXHIBIT INDEX

Exhibit No.	Description
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<a href="#">99.4</a>	Appendix 3Y



## Announcement Summary

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Entity name

JAMES HARDIE INDUSTRIES PLC

Announcement Type

New announcement

Date of this announcement

Tuesday August 24, 2021

The +securities to be quoted are:

☒ +Securities issued under an +employee incentive scheme that are not subject to a restriction on transfer or that are to be quoted notwithstanding there is a restriction on transfer

Total number of +securities to be quoted

ASX +security code	Security description	Number of +securities to be quoted	Issue date
JHX	CHESS DEPOSITARY INTERESTS 1:1	230,100	17/08/2021

Refer to next page for full details of the announcement



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## Part 1 - Entity and announcement details

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### 1.1 Name of entity

JAMES HARDIE INDUSTRIES PLC

We (the entity named above) apply for +quotation of the following +securities and agree to the matters set out in Appendix 2A of the ASX Listing Rules.

### 1.2 Registered number type

ARSN

### Registration number

097829895

### 1.3 ASX issuer code

JHX

### 1.4 The announcement is

☒ New announcement

### 1.5 Date of this announcement

24/8/2021





## Part 2 - Type of Issue

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2.1 The +securities to be quoted are:

☒ +Securities issued under an +employee incentive scheme that are not subject to a restriction on transfer or that are to be quoted notwithstanding there is a restriction on transfer

2.2 The +securities to be quoted are:

☒ Additional +securities in a class that is already quoted on ASX ("existing class")





Part 3B - number and type of +securities to be quoted (existing class) where issue has not previously been notified to ASX in an Appendix 3B

Additional +securities to be quoted in an existing class issued under an +employee incentive scheme

FROM (Existing Class)  
ASX +security code and description  
JHXAK : RESTRICTED STOCK UNIT

TO (Existing Class)  
ASX +security code and description  
JHX : CHESS DEPOSITARY INTERESTS 1:1

Please state the number of +securities issued under the +employee incentive scheme that are not subject to a restriction on transfer or that are to be quoted notwithstanding there is a restriction on transfer

230,100

Please provide details of a URL link for a document lodged with ASX detailing the terms of the +employee incentive scheme or a summary of the terms

Refer to 2006 Plan  
<https://ir.jameshardie.com.au/public/download.jsp?id=5390>  
Refer to 2001 Plan  
<https://ir.jameshardie.com.au/public/download.jsp?id=5562>

Are any of these +securities being issued to +key management personnel (KMP) or an +associate

☒ Yes

Provide details of the KMP or +associates being issued +securities

Name of KMP	Name of registered holder	Number of +securities
Jack Truong	Same	65,457
Sean Gadd	Same	24,442
David Hill	Same	3,055
Jason Miele	Same	6,111

Joe Blasko
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Same
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15,276
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## Application for quotation of +securities

Ryan Kilcullen	Same	12,221
Lorcan Murtagh	Same	3,540
Michael Putti-Benjamin	Same	4,583
Sami Rahman	Same	3,055
Mark Wallace	Same	3,055
Jörg Brinkmann	Same	5,311
Sarah Carter	Same	3,378

Issue date

17/8/2021

Will the +securities to be quoted rank equally in all respects from their issue date with the existing issued +securities in that class?

☒ Yes

## Issue details

Number of +securities to be quoted

230,100

Are the +securities being issued for a cash consideration?

☒ No

Please describe the consideration being provided for the +securities

Nil

Please provide an estimate (in AUD) of the value of the consideration being provided per +security for the +securities to be quoted

0.000000

Any other information the entity wishes to provide about the +securities to be quoted

235,411 RSUs vesting pursuant to the James Hardie Industries Long Term Incentive Plan 2006 (2006 Plan). 212,755 shares were issued as a result of the vesting. The balance 22,656 shares were withheld from issuance to satisfy applicable withholding taxes.

17,345 RSUs vesting pursuant to the James Hardie Industries 2001 Equity Incentive Plan (2001 Plan).







Application for quotation of +securities

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#### Part 4 - Issued capital following quotation

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Following the quotation of the +securities the subject of this application, the issued capital of the entity will comprise:

(A discrepancy in these figures compared to your own may be due to a matter of timing if there is more than one application for quotation/issuance currently with ASX for processing.)

##### 4.1 Quoted +securities (total number of each +class of +securities quoted on ASX following the +quotation of the +securities subject of this application)

ASX +security code and description	Total number of +securities on issue
JHX : CHESS DEPOSITARY INTERESTS 1:1	444,598,882

##### 4.2 Unquoted +securities (total number of each +class of +securities issued but not quoted on ASX)

ASX +security code and description	Total number of +securities on issue
JHXAK : RESTRICTED STOCK UNIT	2,497,282





#### Part 5 - Other Listing Rule requirements

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5.1 Are the +securities being issued under an exception in Listing Rule 7.2 and therefore the issue does not need any security holder approval under Listing Rule 7.1?

☒ Yes

5.1a Select the number of the applicable exception in Listing Rule 7.2

13









### Announcement Summary

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Entity name

JAMES HARDIE INDUSTRIES PLC

Date of this announcement

Tuesday August 24, 2021

The +securities the subject of this notification are:

☒ +Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX

Total number of +securities to be issued/transferred

ASX +security code	Security description	Total number of +securities to be issued/transferred	Issue date
JHXAK	RESTRICTED STOCK UNIT	151,613	17/08/2021

Refer to next page for full details of the announcement





Part 1 - Entity and announcement details

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1.1 Name of entity

JAMES HARDIE INDUSTRIES PLC

We (the entity named above) give notice of the issue, conversion or payment up of the following unquoted +securities.

1.2 Registered number type

ARSN

Registration number

097829895

1.3 ASX issuer code

JHX

1.4 The announcement is

☒ New announcement

1.5 Date of this announcement

24/8/2021





Part 2 - Issue details

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2.1 The +securities the subject of this notification are:

☒ +Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX

2.2a This notification is given in relation to an issue of +securities in a class which is not quoted on ASX and which:

☒ has an existing ASX security code ("existing class")





Part 3B - number and type of +securities the subject of this notification (existing class) where issue has not previously been notified to ASX in an Appendix 3B

ASX +security code and description

JHXAK : RESTRICTED STOCK UNIT

Date the +securities the subject of this notification were issued

17/8/2021

Will these +securities rank equally in all respects from their issue date with the existing issued +securities in that class

☒ Yes

Were any of the +securities issued to +key management personnel (KMP) or an +associate?

☒ Yes

Provide details of the KMP or +associates being issued +securities.

Name of KMP	Name of registered holder	Number of +securities
Miele, Jason	Same	13,619
Miele, Jason	Same	8,594
Gadd, Sean	Same	18,158
Gadd, Sean	Same	11,459
Katigan, Julie	Same	9,079
Katigan, Julie	Same	5,729
Kilcullen, Ryan	Same	9,079
Kilcullen, Ryan	Same	5,729
Blasko, Joseph	Same	11,349
Blasko, Joseph	Same	7,162
Cope, Johnny	Same	7,944
Cope, Johnny	Same	5,013

Cope, Johnny	Same	3,320
Hill, David	Same	3,405

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## Notification of Issue, Conversion or Payment up of Unquoted Equity Securities

Hill, David	Same	2,148
Kessner, David	Same	5,674
Kessner, David	Same	3,581
Rahman, Sami	Same	2,270
Rahman, Sami	Same	1,432
Brinkmann, Jørg	Same	4,688
Brinkmann, Jørg	Same	2,958
Murtagh, Lorcan	Same	2,679
Murtagh, Lorcan	Same	1,690
Carter, Sarah	Same	2,506
Carter, Sarah	Same	1,581
Arneil, John	Same	2,506
Arneil, John	Same	1,581

Please provide a URL link for a document lodged with ASX detailing the terms of the +employee incentive scheme or a summary of the terms

Refer to 2006 Plan

<https://ir.jameshardie.com.au/public/download.jsp?id=5390>

Any other information the entity wishes to provide about the +securities the subject of this notification

151,613 Restricted Stock Units (RSUs) granted under the James Hardie Industries Long Term Incentive Plan 2006 (2006 Plan), which comprise of the following

¿ Grant of 58,657 Returned on Capital Employed (ROCE) RSUs, under the 2006 LTI Plan as part of the annual grant to executives for FY2022. ROCE RSUs are contractual entitlements to be issued ordinary shares/CDIs upon satisfaction of certain conditions; and

¿ Grant of 92,956 Relative Total Shareholder Return (TSR) RSUs, under the 2006 LTI Plan as part of the annual grant to executives for FY2022. Relative TSR RSUs are contractual entitlements to be issued ordinary shares/CDIs upon satisfaction of certain conditions.





## Notification of Issue, Conversion or Payment up of Unquoted Equity Securities

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### Issue details

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Number of +securities

151,613

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Part 4 - +Securities on issue

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Following the issue, conversion or payment up of the +securities the subject of this application, the +securities of the entity will comprise:

(A discrepancy in these figures compared to your own may be due to a matter of timing if there is more than one application for quotation/issuance currently with ASX for processing.)

4.1 Quoted +Securities (Total number of each +class of +securities quoted)

ASX +security code and description	Total number of +securities on issue
JHX : CHESS DEPOSITARY INTERESTS 1:1	444,368,782

4.2 Unquoted +Securities (Total number of each +class of +securities issued but not quoted on ASX)

ASX +security code and description	Total number of +securities on issue
JHXAK : RESTRICTED STOCK UNIT	2,878,995





Part 5 - Other Listing Rule requirements

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5.1 Were the +securities issued under an exception in Listing Rule 7.2 and therefore the issue did not need any security holder approval under Listing Rule 7.1?

☒ Yes

5.1a Select the number of the applicable exception in Listing Rule 7.2

13









## Announcement Summary

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Entity name

JAMES HARDIE INDUSTRIES PLC

Announcement Type

New announcement

Date of this announcement

Wednesday August 25, 2021

The +securities to be quoted are:

☒ +Securities issued under an +employee incentive scheme that are not subject to a restriction on transfer or that are to be quoted notwithstanding there is a restriction on transfer

Total number of +securities to be quoted

ASX +security code	Security description	Number of +securities to be quoted	Issue date
JHX	CHESS DEPOSITARY INTERESTS 1:1	486,653	18/08/2021

Refer to next page for full details of the announcement

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## Part 1 - Entity and announcement details

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### 1.1 Name of entity

JAMES HARDIE INDUSTRIES PLC

We (the entity named above) apply for +quotation of the following +securities and agree to the matters set out in Appendix 2A of the ASX Listing Rules.

### 1.2 Registered number type

ARSN

### Registration number

097829895

### 1.3 ASX issuer code

JHX

### 1.4 The announcement is

☒ New announcement

### 1.5 Date of this announcement

25/8/2021





## Part 2 - Type of Issue

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2.1 The +securities to be quoted are:

☒ +Securities issued under an +employee incentive scheme that are not subject to a restriction on transfer or that are to be quoted notwithstanding there is a restriction on transfer

2.2 The +securities to be quoted are:

☒ Additional +securities in a class that is already quoted on ASX ("existing class")





Part 3B - number and type of +securities to be quoted (existing class) where issue has not previously been notified to ASX in an Appendix 3B

Additional +securities to be quoted in an existing class issued under an +employee incentive scheme

FROM (Existing Class)  
ASX +security code and description  
JHXAK : RESTRICTED STOCK UNIT

TO (Existing Class)  
ASX +security code and description  
JHX : CHESS DEPOSITARY INTERESTS 1:1

Please state the number of +securities issued under the +employee incentive scheme that are not subject to a restriction on transfer or that are to be quoted notwithstanding there is a restriction on transfer

486,653

Please provide details of a URL link for a document lodged with ASX detailing the terms of the +employee incentive scheme or a summary of the terms

Refer to 2006 Plan  
<https://ir.jameshardie.com.au/public/download.jsp?id=5390>

Are any of these +securities being issued to +key management personnel (KMP) or an +associate

☒ Yes

Provide details of the KMP or +associates being issued +securities

Name of KMP	Name of registered holder	Number of +securities
Jack Truong	Same	124,576
Sean Gadd	Same	45,342
David Hill	Same	5,668
Jason Miele	Same	11,335
Joe Blasko	Same	28,339



Ryan Kilcullen	Same	22,671
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## Application for quotation of +securities

Lorcan Murtagh	Same	6,568
Michael Putti-Benjamin	Same	8,502
Sami Rahman	Same	5,668
Mark Wallace	Same	5,668
Jörg Brinkmann	Same	9,852
Sarah Carter	Same	6,266

Issue date

18/8/2021

Will the +securities to be quoted rank equally in all respects from their issue date with the existing issued +securities in that class?

☒ Yes

## Issue details

Number of +securities to be quoted

486,653

Are the +securities being issued for a cash consideration?

☒ No

Please describe the consideration being provided for the +securities

Nil

Please provide an estimate (in AUD) of the value of the consideration being provided per +security for the +securities to be quoted

0.000000

Any other information the entity wishes to provide about the +securities to be quoted

528,843 RSUs vesting pursuant to the James Hardie Industries Long Term Incentive Plan 2006 (2006 Plan). 486,653 shares were issued as a result of the vesting. The balance 42,190 shares were withheld from issuance to satisfy applicable withholding taxes.





#### Part 4 - Issued capital following quotation

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Following the quotation of the +securities the subject of this application, the issued capital of the entity will comprise:

(A discrepancy in these figures compared to your own may be due to a matter of timing if there is more than one application for quotation/issuance currently with ASX for processing.)

##### 4.1 Quoted +securities (total number of each +class of +securities quoted on ASX following the +quotation of the +securities subject of this application)

ASX +security code and description	Total number of +securities on issue
JHX : CHESS DEPOSITARY INTERESTS 1:1	444,855,435

##### 4.2 Unquoted +securities (total number of each +class of +securities issued but not quoted on ASX)

ASX +security code and description	Total number of +securities on issue
JHXAK : RESTRICTED STOCK UNIT	2,240,729





#### Part 5 - Other Listing Rule requirements

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5.1 Are the +securities being issued under an exception in Listing Rule 7.2 and therefore the issue does not need any security holder approval under Listing Rule 7.1?

☒ Yes

5.1a Select the number of the applicable exception in Listing Rule 7.2

13







**Appendix 3Y**  
**Change of Director's Interest Notice**

Rule 3.19A.2

# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b>	James Hardie Industries plc
<b>ARBN</b>	097 829 895

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Jack TRUONG
<b>Date of last notice</b>	18 December 2020

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Direct
<b>Nature of indirect interest (including registered holder)</b> <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small>	Not applicable
<b>Date of change</b>	Two separate events: <ul style="list-style-type: none"> <li>• 17 August 2021</li> <li>• 18 August 2021</li> </ul>
<b>No. of securities held prior to change</b>	61,669 ordinary shares/CUFS registered in the name of the Director (15,417 are in a holding lock until 21 August 2022)
<b>Class</b>	Ordinary shares/CUFS

+ See chapter 19 for defined terms.

01/01/2011 Appendix 3Y Page 1

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**Appendix 3Y**  
**Change of Director's Interest Notice**

<b>Number acquired</b>	<ul style="list-style-type: none"> <li>65,457 ordinary shares/CUFs following vesting of 65,457 Return on Capital Employed Restricted Stock Units (ROCE RSUs) on 17 August 2021</li> <li>124,576 ordinary shares/CUFs following vesting of 124,576 Relative Total Shareholder Returns Restricted Stock Units (Relative TSR RSUs) on 18 August 2021.</li> </ul>
<b>Number disposed</b>	None
<b>Value/Consideration</b> <small>Note: If consideration is non-cash, provide details and estimated valuation</small>	<ul style="list-style-type: none"> <li>Vesting of ROCE RSUs on 17 August 2021 – Nil</li> <li>Vesting of Relative TSR RSUs on 18 August 2021 – Nil</li> </ul>
<b>No. of securities held after change</b>	251,702 ordinary shares/CUFs registered in the name of the Director (15,417 are in a holding lock until 21 August 2022 and 47,508 until 17 August 2023)
<b>Nature of change</b> <small>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back</small>	Two separate events: <ul style="list-style-type: none"> <li>65,457 ordinary shares/CUFs following vesting of 65,457 ROCE RSUs on 17 August 2021</li> <li>124,576 ordinary shares/CUFs following vesting of 124,576 Relative TSR RSUs on 18 August 2021</li> </ul>

**Part 2 – Change of director's interests in contracts**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	Not applicable
<b>Nature of interest</b>	Restricted Stock Units (RSUs) are contractual entitlements to be issued ordinary shares/CUFs upon satisfaction of certain conditions
<b>Name of registered holder (if issued securities)</b>	Not applicable



**Appendix 3Y**  
**Change of Director's Interest Notice**

<b>Date of change</b>	<p>Two separate events</p> <ul style="list-style-type: none"> <li>• 17 August 2021 – Vesting of RSUs</li> <li>• 18 August 2021 – Vesting of RSUs</li> </ul>
<p><b>No. and class of securities to which interest related prior to change</b></p> <p><small>Note: Details are only required for a contract in relation to which the interest has changed</small></p>	<p><u>ROCE RSUs</u></p> <ul style="list-style-type: none"> <li>• 22,740 ROCE RSUs vesting rateably on 21 Aug 2021 and 21 Aug 2022</li> <li>• 55,938 ROCE RSUs with a vesting date of 17 Aug 2021</li> <li>• 9,519 ROCE RSUs with a vesting date of 17 Aug 2021</li> <li>• 75,545 ROCE RSUs with a vesting date of 17 Aug 2022</li> <li>• 82,131 ROCE RSUs with a vesting date of 17 Aug 2023</li> </ul> <p><u>TSR RSUs</u></p> <ul style="list-style-type: none"> <li>• 41,150 TSR RSUs vesting rateably on 21 Aug 2021 and 21 Aug 2022</li> <li>• 106,058 TSR RSUs with a vesting date of 17 Aug 2021</li> <li>• 18,518 TSR RSUs with a vesting date of 17 Aug 2021</li> <li>• 139,432 TSR RSUs with a vesting date of 17 Aug 2022</li> <li>• 127,083 TSR RSUs with a vesting date of 17 Aug 2023</li> </ul>
<b>Interest acquired</b>	Not applicable
<b>Interest disposed</b>	<ul style="list-style-type: none"> <li>• 65,457 ROCE RSUs vested on 17 August 2021</li> <li>• 124,576 Relative TSR RSUs vested on 18 August 2021</li> </ul>
<p><b>Value/Consideration</b></p> <p><small>Note: If consideration is non-cash, provide details and an estimated valuation</small></p>	No cash consideration was paid to exercise the RSUs.

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+ See chapter 19 for defined terms.

01/01/2011 Appendix 3Y Page 3

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**Appendix 3Y**  
**Change of Director's Interest Notice**

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Interest after change	<p><u>ROCE RSUs</u></p> <ul style="list-style-type: none"> <li>• 22,740 ROCE RSUs vesting rateably on 21 Aug 2021 and 21 Aug 2022</li> <li>• 75,545 ROCE RSUs with a vesting date of 17 Aug 2022</li> <li>• 82,131 ROCE RSUs with a vesting date of 17 Aug 2023</li> </ul> <p><u>TSR RSUs</u></p> <ul style="list-style-type: none"> <li>• 41,150 TSR RSUs vesting rateably on 21 Aug 2021 and 21 Aug 2022</li> <li>• 139,432 TSR RSUs with a vesting date of 17 Aug 2022</li> <li>• 127,083 TSR RSUs with a vesting date of 17 Aug 2023</li> </ul>
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**Part 3 – <sup>+</sup>Closed period**

Were the interests in the securities or contracts detailed above traded during a <sup>+</sup> closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	Not applicable
If prior written clearance was provided, on what date was this provided?	Not applicable





