# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### FORM 6-K

# Report of Foreign Private Issuer Pursuant to Rule 13a-16 or 15d-16 under the Securities Exchange Act of 1934

For the Month of September 2021

1-15240 (Commission File Number)

#### JAMES HARDIE INDUSTRIES plc

(Translation of registrant's name into English)

Europa House, Second Floor Harcourt Centre Harcourt Street, Dublin 2, D02, WR20, Ireland (Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F..X.... Form 40-F.......

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1): Not Applicable
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7): Not Applicable

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#### Forward-Looking Statements

This Form 6-K contains forward-looking statements. James Hardie Industries plc (the "company") may from time to time make forward-looking statements in its periodic reports filed with or furnished to the Securities and Exchange Commission, on Forms 20-F and 6-K, in its annual reports to shareholders, in offering circulars, invitation memoranda and prospectuses, in media releases and other written materials and in oral statements made by the company's officers, directors or employees to analysts, institutional investors, existing and potential lenders, representatives of the media and others. Statements that are not historical facts are forward-looking statements and such forward-looking statements made pursuant to the Safe Harbor Provisions of the Private Securities Litigation Reform Act of 1995.

Examples of forward-looking statements include:

- statements about the company's future performance;
- projections of the company's results of operations or financial condition;
- statements regarding the company's plans, objectives or goals, including those relating to strategies, initiatives, competition, acquisitions, dispositions and/or its products;
- expectations concerning the costs associated with the suspension or closure of operations at any of the company's plants and future plans with respect to any such plants;
- expectations concerning the costs associated with the significant capital expenditure projects at any of the company's plants and future plans with respect to any such projects;
- expectations regarding the extension or renewal of the company's credit facilities including changes to terms, covenants or ratios;
- · expectations concerning dividend payments and share buy-backs;
- · statements concerning the company's corporate and tax domiciles and structures and potential changes to them, including potential tax charges;
- uncertainty from the expected discontinuance of LIBOR and transition to any other interest rate benchmark;
- statements regarding the effect and consequences of the COVID-19 public health crisis;
- · statements regarding tax liabilities and related audits, reviews and proceedings;
- statements regarding the possible consequences and/or potential outcome of legal proceedings brought against us and the potential liabilities, if any, associated with such proceedings;
- expectations about the timing and amount of contributions to AICF, a special purpose fund for the compensation of proven Australian asbestos-related personal injury and death claims;
- · expectations concerning the adequacy of the company's warranty provisions and estimates for future warranty-related costs;
- statements regarding the company's ability to manage legal and regulatory matters (including but not limited to product liability, environmental, intellectual property and competition law matters) and to resolve any such pending legal and regulatory matters within current estimates and in anticipation of certain third-party recoveries; and
- statements about economic or housing market conditions in the regions in which we operate, including but not limited to, the levels of new home construction and home renovations, unemployment levels, changes in consumer income, changes or stability in housing values, the availability of mortgages and other financing, mortgage and other interest rates, housing affordability and supply, the levels of foreclosures and home resales, currency exchange rates, and builder and consumer confidence.

Words such as "believe," "anticipate," "plan," "expect," "intend," "target," "estimate," "project," "predict," "forecast," "guideline," "aim," "will," "should," "likely," "continue," "may," "objective," "outlook" and similar expressions are intended to identify forward-looking statements but are not the exclusive means of identifying such statements. Readers are cautioned not to place undue reliance on these forward-looking statements and all such forward-looking statements are qualified in their entirety by reference to the following cautionary statements.

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Forward-looking statements are based on the Company's current expectations, estimates and assumptions and because forward-looking statements address future results, events and conditions, they, by their very nature, involve inherent risks and uncertainties, many of which are unforeseeable and beyond the Company's control. Such known and unknown risks, uncertainties and other factors may cause actual results, performance or other achievements to differ materially from the anticipated results, performance or achievements expressed, projected or implied by these forward-looking statements. These factors, some of which are discussed under "Risk Factors" in Section 3 of the Form 20-F filed with the Securities and Exchange Commission on 18 May 2021, include, but are not limited to: all matters relating to or arising out of the prior manufacture of products that contained asbestos by current and former Company subsidiaries; required contributions to AICF, any shortfall in AICF funding and the effect of currency exchange rate movements on the amount recorded in the Company's financial statements as an asbestos liability; compliance with and changes in tax laws and treatments; competition and product pricing in the markets in which the Company operates; the consequences of product failures or defects; exposure to environmental, asbestos, putative consumer class action or other legal proceedings; general economic and market conditions; the supply and cost of raw materials; possible increases in competition and the potential that competitors could copy the Company's products; compliance with and changes in environmental and health and safety laws; risks of conducting business internationally, compliance with and changes in laws and regulations; currency exchange risks; dependence on customer preference and the concentration of the Company's customer base; dependence on residential and commercial construction markets; the effect of adverse changes in climate or weather patterns; use of accounting estimates; risk and uncertainties arising out of the COVID-19 public health crisis, including the impact of COVID-19 on our business, sales, results of operations and financial condition and all other risks identified in the Company's reports filed with Australian, Irish and US securities regulatory agencies and exchanges (as appropriate). The Company cautions you that the foregoing list of factors is not exhaustive and that other risks and uncertainties may cause actual results to differ materially from those referenced in the Company's forward-looking statements. Forward-looking statements speak only as of the date they are made and are statements of the Company's current expectations concerning future results, events and conditions. The Company assumes no obligation to update any forward-looking statements or information except as required by law.

## **EXHIBIT INDEX**

Exhibit No.

**Description**Notification regarding unquoted securities - JHX
Appendix 3Y 99.1 99.2

### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Date: 10 September 2021

James Hardie Industries plc By: /s/ Joseph C. Blasko

Joseph C. Blasko General Counsel, Chief Compliance Officer and Company Secretary

## **EXHIBIT INDEX**

Exhibit No.

**Description**Notification regarding unquoted securities - JHX
Appendix 3Y 99.1 99.2



#### Announcement Summary

Entity name

JAMES HARDIE INDUSTRIES PLC

Date of this announcement

Friday September 03, 2021

The +securities the subject of this notification are:

& +Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX

Total number of +securities to be issued/transferred

Total number of

ASX +security +securities to be

code Security description issued/transferred Issue date

JHXAK RESTRICTED STOCK UNIT 219,993 26/08/2021

Refer to next page for full details of the announcement



### Part 1 - Entity and announcement details

1.1 Name of entity

JAMES HARDIE INDUSTRIES PLC

We (the entity named above) give notice of the issue, conversion or payment up of the following unquoted +securities.

1.2 Registered number type

Registration number

ARSN

097829895

1.3 ASX issuer code

JHX

1.4 The announcement is ☑ New announcement

1.5 Date of this announcement

3/9/2021



#### Part 2 - Issue details

- 2.1 The +securities the subject of this notification are:
- ♥ +Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX
- 2.2a This notification is given in relation to an issue of +securities in a class which is not quoted on ASX and which:
- ☑ has an existing ASX security code ("existing class")



Part 3B - number and type of +securities the subject of this notification (existing class) where issue has not previously been notified to ASX in an Appendix 3B

ASX +security code and description

JHXAK: RESTRICTED STOCK UNIT

Date the +securities the subject of this notification were issued

26/8/2021

Will these +securities rank equally in all respects from their issue date with the existing issued +securities in that class

**E** Yes

Were any of the +securities issued to +key management personnel (KMP) or an +associate? 

✓ Yes

Provide details of the KMP or +associates being issued +securities.

Name of KMP	Name of registered holder	Number of +securities
Jack Truong	Same	219,993

Please provide a URL link for a document lodged with ASX detailing the terms of the +employee incentive scheme or a summary of the terms

Refer to 2006 Plan

https://ir.jameshardie.com.au/public/download.jsp?id=5390

Refer to 2001 Plan

https://ir.jameshardie.com.au/public/download.jsp?id=5562

Any other information the entity wishes to provide about the +securities the subject of this notification

219,993 Restricted Stock Units (RSUs) granted under the James Hardie Industries Long Term Incentive Plan 2006 (2006 Plan), which comprise of the following

- ¿ Grant of 82,358 Returned on Capital Employed (ROCE) RSUs, under the 2006 LTI Plan as part of the annual grant to executives for FY2022. ROCE RSUs are contractual entitlements to be issued ordinary shares/CDIs upon satisfaction of certain conditions; and
- ¿ Grant of 130,513 Relative Total Shareholder Return (TSR) RSUs, under the 2006 LTI Plan as part of the annual grant to executives for FY2022. Relative TSR RSUs are contractual entitlements to be issued ordinary shares/CDIs upon satisfaction of certain conditions.
- ¿ Grant of 7,122 Restricted Stock Units (RSUs) being contractual entitlements granted to employees under the James Hardie Industries 2001 Equity Incentive Plan (2001 Plan) to be issued ordinary shares/CDIs upon satisfaction of certain conditions.

Notification of Issue, Conversion or Payment up of Unquoted



Notification of Issue, Conversion or Payment up of Unquoted Equity Securities

Issue details

Number of +securities 219,993

Notification of Issue, Conversion or Payment up of Unquoted



#### Part 4 - +Securities on issue

Following the issue, conversion or payment up of the +securities the subject of this application, the +securities of the entity will comprise:

(A discrepancy in these figures compared to your own may be due to a matter of timing if there is more than one application for quotation/issuance currently with ASX for processing.)

4.1 Quoted +Securities (Total number of each +class of +securities quoted)

ASX +security code and description

Total number of +securities on issue

JHX: CHESS DEPOSITARY INTERESTS 1:1

445,125,550

4.2 Unquoted +Securities (Total number of each +class of +securities issued but not quoted on ASX)

ASX +security code and description

Total number of +securities on issue

JHXAK: RESTRICTED STOCK UNIT

2,342,220

Notification of Issue, Conversion or Payment up of Unquoted



### Part 5 - Other Listing Rule requirements

5.1 Were the +securities issued under an exception in Listing Rule 7.2 and therefore the issue did not need any security holder approval under Listing Rule 7.1?

Yes

5.1a Select the number of the applicable exception in Listing Rule 7.2

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1	No	tific	cation	of Issue,	Conversion or Payment up of Unquoted
			-	1,1	

Rule 3.19A.2

# **Appendix 3Y**

# **Change of Director's Interest Notice**

Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/09/01 Amended 01/01/11

Name of entity	James Hardie Industries plc	
ARBN	097 829 895	

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Jack TRUONG
Date of last notice	26 August 2021

#### Part 1 - Change of director's relevant interests in securities

In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Direct
Nature of indirect interest (including registered holder) Note: Provide details of the circumstances giving rise to the relevant interest.	Not applicable
Date of change	Not Applicable
No. of securities held prior to change	279,383 ordinary shares/CUFs registered in the name of the Director (15,417 are in a holding lock until 21 August 2022 and 47,508 until 17 August 2023 and 6,921 until 20 August 2023)
Class	Ordinary shares/CUFS

+ see chapter 19 for defined terms.

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Number acquired	Not applicable
Number disposed	Not applicable
Value/Consideration Note: If consideration is non-cash, provide details and estimated valuation	Not applicable
No. of securities held after change	279,383 ordinary shares/CUFs registered in the name of the Director (15,417 are in a holding lock until 21 August 2022 and 47,508 until 17 August 2023 and 6,921 until 20 August 2023)
Nature of change  Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Not applicable

## Part 2 - Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

Detail of contract	Not applicable
Nature of interest	Restricted Stock Units (RSUs) are contractual entitlements to be issued ordinary shares/CUFs upon satisfaction of certain conditions
Name of registered holder (if issued securities)	Not applicable
Date of change	26 August 2021 (US Time) – Grant of RSUs

+ See chapter 19 for defined terms.	
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No. and class of securities to which interest related prior to change  Note: Details are only required for a contract in relation to which the interest has changed	<ul> <li>ROCE RSUs</li> <li>11,370 ROCE RSUs with a vesting date of 21 Aug 2022</li> <li>75,545 ROCE RSUs with a vesting date of 17 Aug 2022</li> <li>82,131 ROCE RSUs with a vesting date of 17 Aug 2023</li> <li>TSR RSUs</li> <li>20,575 TSR RSUs with a vesting date of 21 Aug 2022</li> <li>139,432 TSR RSUs with a vesting date of 17 Aug 2022</li> <li>127,083 TSR RSUs with a vesting date of 17 Aug 2023</li> </ul>
Interest acquired	<ul> <li>Two separate grants of RSUs, as part of the FY2022 long-term incentive to the CEO pursuant to the 2006 Plan, approved by shareholders at the 2021 Annual General Meeting:</li> <li>130,513 TSR RSUs. These RSUs are subject to a TSR-based hurdle measured over a performance period of 3 years;</li> <li>82,358 ROCE RSUs. These RSUs are subject to a ROCE hurdle based on the Company's average ROCE performance in FY2022-2024 and the Remuneration Committee's exercise of negative discretion following the completion of the 3-year performance period.</li> </ul>
Interest disposed	None
Value/Consideration  Note: If consideration is non-cash, provide details and an estimated valuation	None

+ See chapter 19 for defined terms.

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Interest after change	ROCE RSUs
	<ul> <li>11,370 ROCE RSUs with a vesting date of 21 Aug 2022</li> <li>75,545 ROCE RSUs with a vesting date of 17 Aug 2022</li> <li>82,131 ROCE RSUs with a vesting date of 17 Aug 2023</li> <li>82,358 ROCE RSUs with a vesting date of 17 2024</li> </ul>
	TSR RSUs
	<ul> <li>20,575 TSR RSUs with a vesting date of 21 Aug 2022</li> <li>139,432 TSR RSUs with a vesting date of 17</li> </ul>
	Aug 2022
	<ul> <li>127,083 TSR RSUs with a vesting date of 17 Aug 2023</li> </ul>
	<ul> <li>130,513 TSR RSUs with a vesting date of 17 2024.</li> </ul>

# Part 3 - \*Closed period

Were the interests in the securities or contracts detailed above traded during a *closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	Not applicable
If prior written clearance was provided, on what date was this provided?	Not applicable

+ See chapter 19 for defined terms.	
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