# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### FORM 6-K

# Report of Foreign Private Issuer Pursuant to Rule 13a-16 or 15d-16 under the Securities Exchange Act of 1934

For the Month of August 2022

1-15240 (Commission File Number)

### JAMES HARDIE INDUSTRIES plc

(Translation of registrant's name into English)

Europa House, Second Floor Harcourt Centre Harcourt Street, Dublin 2, D02, WR20, Ireland (Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F..X.... Form 40-F.......

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1): Not Applicable
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7): Not Applicable

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#### Forward-Looking Statements

This Form 6-K contains forward-looking statements. James Hardie Industries plc (the "company") may from time to time make forward-looking statements in its periodic reports filed with or furnished to the Securities and Exchange Commission, on Forms 20-F and 6-K, in its annual reports to shareholders, in offering circulars, invitation memoranda and prospectuses, in media releases and other written materials and in oral statements made by the company's officers, directors or employees to analysts, institutional investors, existing and potential lenders, representatives of the media and others. Statements that are not historical facts are forward-looking statements and such forward-looking statements made pursuant to the Safe Harbor Provisions of the Private Securities Litigation Reform Act of 1995.

Examples of forward-looking statements include:

- statements about the company's future performance;
- projections of the company's results of operations or financial condition;
- statements regarding the company's plans, objectives or goals, including those relating to strategies, initiatives, competition, acquisitions, dispositions and/or its products;
- expectations concerning the costs associated with the suspension or closure of operations at any of the company's plants and future plans with respect to any such plants;
- expectations concerning the costs associated with the significant capital expenditure projects at any of the company's plants and future plans with respect to any such projects;
- expectations regarding the extension or renewal of the company's credit facilities including changes to terms, covenants or ratios;
- · expectations concerning dividend payments and share buy-backs;
- statements concerning the company's corporate and tax domiciles and structures and potential changes to them, including potential tax charges;
- · uncertainty from the discontinuance of LIBOR and transition to any other interest rate benchmark;
- statements regarding the effect and consequences of the COVID-19 public health crisis;
- · statements regarding tax liabilities and related audits, reviews and proceedings;
- statements regarding the possible consequences and/or potential outcome of legal proceedings brought against us and the potential liabilities, if any, associated with such proceedings;
- expectations about the timing and amount of contributions to AICF, a special purpose fund for the compensation of proven Australian asbestos-related personal injury and death claims;
- · expectations concerning the adequacy of the company's warranty provisions and estimates for future warranty-related costs;
- statements regarding the company's ability to manage legal and regulatory matters (including but not limited to product liability, environmental, intellectual property and competition law matters) and to resolve any such pending legal and regulatory matters within current estimates and in anticipation of certain third-party recoveries; and
- statements about economic or housing market conditions in the regions in which we operate, including but not limited to, the levels of new home construction and home renovations, unemployment levels, changes in consumer income, changes or stability in housing values, the availability of mortgages and other financing, mortgage and other interest rates, housing affordability and supply, the levels of foreclosures and home resales, currency exchange rates, and builder and consumer confidence.

Words such as "believe," "anticipate," "plan," "expect," "intend," "target," "estimate," "project," "predict," "forecast," "guideline," "aim," "will," "should," "likely," "continue," "may," "objective," "outlook" and similar expressions are intended to identify forward-looking statements but are not the exclusive means of identifying such statements. Readers are cautioned not to place undue reliance on these forward-looking statements and all such forward-looking statements are qualified in their entirety by reference to the following cautionary statements.

#### **Table of Contents**

Forward-looking statements are based on the Company's current expectations, estimates and assumptions and because forward-looking statements address future results, events and conditions, they, by their very nature, involve inherent risks and uncertainties, many of which are unforeseeable and beyond the Company's control. Such known and unknown risks, uncertainties and other factors may cause actual results, performance or other achievements to differ materially from the anticipated results, performance or achievements expressed, projected or implied by these forward-looking statements. These factors, some of which are discussed under "Risk Factors" in Section 3 of the Form 20-F filed with the Securities and Exchange Commission on 17 May 2022, include, but are not limited to: all matters relating to or arising out of the prior manufacture of products that contained asbestos by current and former Company subsidiaries; required contributions to AICF, any shortfall in AICF funding and the effect of currency exchange rate movements on the amount recorded in the Company's financial statements as an asbestos liability; compliance with and changes in tax laws and treatments; competition and product pricing in the markets in which the Company operates; the consequences of product failures or defects; exposure to environmental, asbestos, putative consumer class action or other legal proceedings; general economic and market conditions; the supply and cost of raw materials; possible increases in competition and the potential that competitors could copy the Company's products; compliance with and changes in environmental and health and safety laws; risks of conducting business internationally; compliance with and changes in laws and regulations; currency exchange risks; dependence on customer preference and the concentration of the Company's customer base; dependence on residential and commercial construction markets; the effect of adverse changes in climate or weather patterns; use of accounting estimates; risk and uncertainties arising out of the COVID-19 public health crisis, including the impact of COVID-19 on our business, sales, results of operations and financial condition and all other risks identified in the Company's reports filed with Australian, Irish and US securities regulatory agencies and exchanges (as appropriate). The Company cautions you that the foregoing list of factors is not exhaustive and that other risks and uncertainties may cause actual results to differ materially from those referenced in the Company's forward-looking statements. Forward-looking statements speak only as of the date they are made and are statements of the Company's current expectations concerning future results, events and conditions. The Company assumes no obligation to update any forward-looking statements or information except as

# **EXHIBIT INDEX**

Exhibit No.

**Description**Application for quotation of securities - JHX
Notification regarding unquoted securities - JHX 99.1 99.2

# **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Date: 26 August 2022

James Hardie Industries plc By: /s/ Joseph C. Blasko

Joseph C. Blasko General Counsel, Chief Compliance Officer and Company Secretary

# **EXHIBIT INDEX**

Exhibit No.

**Description**Application for quotation of securities - JHX
Notification regarding unquoted securities - JHX 99.1 99.2

## Announcement Summary

Entity name

JAMES HARDIE INDUSTRIES PLC

Announcement Type

New announcement

Date of this announcement

Wednesday August 24, 2022

The +securities to be quoted are:

♥ +Securities issued under an +employee incentive scheme that are not subject to a restriction on transfer or that are to be quoted notwithstanding there is a restriction on transfer

Total number of +securities to be quoted

ASX +security Number of +securities to

code Security description be quoted Issue date

JHX CHESS DEPOSITARY INTERESTS 1:1 206,038 17/08/2022

Refer to next page for full details of the announcement



### Part 1 - Entity and announcement details

1.1 Name of entity

JAMES HARDIE INDUSTRIES PLC

We (the entity named above) apply for +quotation of the following +securities and agree to the matters set out in Appendix 2A of the ASX Listing Rules.

1.2 Registered number type

Registration number

**ARBN** 

097829895

1.3 ASX issuer code

JHX

1.4 The announcement is ☑ New announcement

1.5 Date of this announcement

24/8/2022



### Part 2 - Type of Issue

- 2.1 The +securities to be quoted are:
- $\ensuremath{\mathfrak{C}}$  +Securities issued under an +employee incentive scheme that are not subject to a restriction on transfer or that are to be quoted notwithstanding there is a restriction on transfer
- 2.2 The +securities to be quoted are:
- ☑ Additional +securities in a class that is already quoted on ASX ("existing class")



Part 3B - number and type of +securities to be quoted (existing class) where issue has not previously been notified to ASX in an

Appendix 3B

Additional +securities to be quoted in an existing class issued under an +employee incentive scheme

FROM (Existing Class) ASX +security code and description

JHXAK: RESTRICTED STOCK UNIT

TO (Existing Class)
ASX +security code and description

JHX: CHESS DEPOSITARY INTERESTS 1:1

Please state the number of +securities issued under the +employee incentive scheme that are not subject to a restriction on transfer or that are to be quoted notwithstanding there is a restriction on transfer 206,038

Please provide details of a URL link for a document lodged with ASX detailing the terms of the +employee incentive scheme or a summary of the terms

Refer to 2001 Plan

https://ir.jameshardie.com.au/public/download.jsp?id=5562

Refer to 2006 Plan

https://ir.jameshardie.com.au/public/download.jsp?id=5390

Are any of these +securities being issued to +key management personnel (KMP) or an +associate  $\ensuremath{\mathfrak{C}}$  Yes

Provide details of the KMP or +associates being issued +securities

Name of KMP	Name of registered holder	Number of +securities
Sean Gadd	Same	39,604
Jason Miele	Same	14,167
Joe Blasko	Same	25,142

Rvan Kilcullen Same 25.135

J¿rg Brinkmann	Same	8,958	
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### Application for quotation of +securities

James Johnson	Same	1,070
John Arneil	Same	6,091

Issue date

17/8/2022

Will the +securities to be quoted rank equally in all respects from their issue date with the existing issued +securities in that class?

**E** Yes

Issue details

Number of +securities to be quoted

206,038

Are the +securities being issued for a cash consideration?

E No

Please describe the consideration being provided for the +securities

na

Please provide an estimate (in AUD) of the value of the consideration being provided per +security for the +securities to be quoted

0.000000

Any other information the entity wishes to provide about the +securities to be quoted

5,223 RSUs vesting pursuant to the 2001 Equity Incentive Plan (2001 Plan). 3,603 shares were issued as a result of the vesting. The balance of 1,620 shares were withheld from issuance to satisfy applicable payroll withholding taxes. 321,949 RSUs vesting pursuant to the 2006 Long-Term Incentive Plan (2006 Plan). 202,435 shares were issued as a result of the vesting. The balance of 119,514 shares were withheld from issuance to satisfy applicable payroll withholding taxes



#### Part 4 - Issued capital following quotation

Following the quotation of the +securities the subject of this application, the issued capital of the entity will comprise:

(A discrepancy in these figures compared to your own may be due to a matter of timing if there is more than one application for quotation/issuance currently with ASX for processing.)

4.1 Quoted +securities (total number of each +class of +securities quoted on ASX following the +quotation of the +securities subject of this application)

ASX +security code and description

Total number of +securities on issue

JHX : CHESS DEPOSITARY INTERESTS 1:1 445,637,709

4.2 Unquoted +securities (total number of each +class of +securities issued but not quoted on ASX)

ASX +security code and description

Total number of +securities on issue

JHXAK: RESTRICTED STOCK UNIT 2,099,057



#### Part 5 - Other Listing Rule requirements

5.1 Are the +securities being issued under an exception in Listing Rule 7.2 and therefore the issue does not need any security holder approval under Listing Rule 7.1? 

☑ No

5.2 Has the entity obtained, or is it obtaining, +security holder approval for the issue under listing rule 7.1?

@ No

5.2b Are any of the +securities being issued without +security holder approval using the entity's 15% placement capacity under listing rule 7.1? 
☑ No

5.2c Are any of the +securities being issued without +security holder approval using the entity's additional 10% placement capacity under listing rule 7.1A (if applicable)? 
☑ N/A



#### Announcement Summary

Entity name

JAMES HARDIE INDUSTRIES PLC

Date of this announcement

Wednesday August 24, 2022

The +securities the subject of this notification are:

₭ +Securities issued under an +employee incentive scheme that are subject to a restriction on transfer and are not being quoted on ASX until the restriction ends

Total number of +securities to be issued/transferred

Total number of

ASX +security +securities to be

code Security description issued/transferred Issue date

JHXAK RESTRICTED STOCK UNIT 639,610 17/08/2022

Refer to next page for full details of the announcement



### Part 1 - Entity and announcement details

1.1 Name of entity

JAMES HARDIE INDUSTRIES PLC

We (the entity named above) give notice of the issue, conversion or payment up of the following unquoted +securities.

1.2 Registered number type

Registration number

**ARBN** 

097829895

1.3 ASX issuer code

JHX

1.4 The announcement is ☑ New announcement

1.5 Date of this announcement

24/8/2022



#### Part 2 - Issue details

2.1 The +securities the subject of this notification are:

🗷 +Securities issued under an +employee incentive scheme that are subject to a restriction on transfer and are not being quoted on ASX until the restriction ends

2.2a This notification is given in relation to an issue of +securities in a class which is not quoted on ASX and which:

has an existing ASX security code ("existing class")



Part 3B - number and type of +securities the subject of this notification (existing class) where issue has not previously been notified to ASX in an Appendix 3B

Additional +securities in an existing class issued under an +employee incentive scheme

ASX +security code and description

JHXAK: RESTRICTED STOCK UNIT

Date the +securities the subject of this notification were issued

17/8/2022

Will these +securities rank equally in all respects from their issue date with the existing issued +securities in that class

**E** Yes

Were any of the +securities issued to +key management personnel (KMP) or an +associate?  $\ensuremath{\mathfrak{C}}$  Yes

Provide details of the KMP or +associates being issued +securities.

 Name of KMP
 Name of registered holder
 Number of +securities

 Miele, Jason
 Same
 97,063

 Gadd, Sean
 Same
 113,529

Johnson, James Same 15,936

Kilcullen, Ryan Same 72,298

Blasko, Joseph Same 26,561

Arneil, John Same 7,412

Liu, Joe Same 18,593

Please provide a URL link for a document lodged with ASX detailing the terms of the +employee incentive scheme or a summary of the terms

Refer to 2006 Plan

https://ir.jameshardie.com.au/public/download.jsp?id=5390

Refer to 2001 Plan

https://ir.jameshardie.com.au/public/download.jsp?id=5562

١	10	tific	ation	of Issue,	Conversion or Payment up of Unquoted
-			-	1,1	



Notification of Issue, Conversion or Payment up of Unquoted Equity Securities

Any other information the entity wishes to provide about the +securities the subject of this notification

615,377 Restricted Stock Units (RSUs) granted under the Long Term Incentive Plan 2006 (2006 Plan), which comprise; 76,074 Returned on Capital Employed (ROCE) RSUs, under the 2006 LTI Plan as part of the annual grant to executives for FY2023 and 49,693 ROCE RSUs under the Leadership Team Transition & Alignment awards. ROCE RSUs are contractual entitlements to be issued ordinary shares/CDIs upon satisfaction of certain conditions; and 387,360 Relative Total Shareholder Return (TSR) RSUs, under the 2006 LTI Plan as part of the annual grant to executives for FY2023 and 102,250 Relative TSR RSUs under the Leadership Team Transition & Alignment awards. TSR RSUs are contractual entitlements to be issued ordinary shares/CDIs upon satisfaction of certain conditions. 24,233 Restricted Stock Units (RSUs) being contractual entitlements granted under the 2001 Equity Incentive Plan (2001 Plan) to be issued ordinary shares/CDIs upon satisfaction of certain conditions.

Issue details

Number of +securities 639,610



#### Part 4 - +Securities on issue

Following the issue, conversion or payment up of the +securities the subject of this application, the +securities of the entity will comprise:

(A discrepancy in these figures compared to your own may be due to a matter of timing if there is more than one application for quotation/issuance currently with ASX for processing.)

4.1 Quoted +Securities (Total number of each +class of +securities quoted)

ASX +security code and description

Total number of +securities on issue

JHX: CHESS DEPOSITARY INTERESTS 1:1

445,431,671

4.2 Unquoted +Securities (Total number of each +class of +securities issued but not quoted on ASX)

ASX +security code and description

Total number of +securities on issue

JHXAK : RESTRICTED STOCK UNIT 2,944,705

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١	Notification of Issue,		of Issue,	Conversion or Payment up of Unquoted	
-			-	1,1	



Part 5 - Other Listing Rule requirements

5.1 Were the +securities issued under an exception in Listing Rule 7.2 and therefore the issue did not need any security holder approval under Listing Rule 7.1?

5.1a Select the number of the applicable exception in Listing Rule 7.2

13

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١	Vo	tific	catio	n of Issue,	Conversion or Payment up of Unquoted
-		•	-	***	